

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Michael WIND, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/12335

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR THE PRODUCTION OF PREPOLYMERS CONTAINING ISOCYANATE GROUPS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 289241US0PCT		SERIAL NO. 70693	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Michael WIND, et al.			
				FILING DATE Herewith		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 469 121	10/22/02	SPEIER et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AK	1 253 159	10/30/02	EP			NO
	AL	03/006521	01/23/03	WO (with English abstract & equivalent of US2004/0162385)			NO
	AM	26 12 649	10/28/76	DE (equivalent of US4 026 875)			NO
	AN	01/40342	06/07/01	WO (with English abstract & equivalent of AU 774 286)			NO
	AO	102 29 519	01/30/03	DE (equivalent of US2004/0162385)			NO
	AP	03/033562	04/24/03	WO (with English abstract & equivalent of US2005/0032973)			NO
	AQ	101 57 488	06/20/02	DE (equivalent of US6 903 167)			NO
	AR	01/40340	06/07/01	WO			NO
	AS	1 249 460	10/16/02	EP			NO
	AT	0 370 408	05/30/90	EP			NO
	AU	03/46040	06/05/03	WO (with English abstract)			NO
	AV	101 61 386	06/18/03	DE (equivalent of US6 825 376)			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

30/200723

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Michael WIND, et al.

Docket No. 289241 US

APPROVED FOR FILING 2006

STATEMENT OF RELEVANCY

- 1) References AA & AK - AM have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AN - AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.